Rules for Federal Civilian Employees GS-15 and Below on Job Hunting and Post-Government Employment

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Overview

- Job hunting rules
- Use of government resources in job hunting
- Post-government employment rules

- Can I seek employment with a company now?
- Answer: If you are "participating personally and substantially in a government matter" that has a direct and predictable effect on the company's financial interests, then you may not seek employment with the company.
- This means you may not send a resume to the company or discuss employment with the company.

- What types of "government matters" could I be working on that would prohibit me from discussing employment with a company?
- Answer: "Government matters" would include:
 - Government contracts
 - Sale of a government asset to a company
 - A claim by a company against the government

 What type of involvement in a "government matter" can result in my being prohibited from discussing employment with a company?

Answer:

- Being the decision maker on the matter
- Being the approval authority on the matter
- Being an advisor on the matter
- Being an evaluator on the matter
- Being an investigator on the matter

 What are some examples of people who may not discus employment with a company?

Answer:

- Contracting officer on a government contract
- Member of the technical evaluation team in a source selection
- Quality assurance evaluator on a govt.
 contract
- Government negotiator on sale of govt. asset
- Employee who makes recommendation to contracting officer on settling contractor claim.

- If, because of my job duties, I am prohibited from seeking employment with a company, but I want to seek employment with the company, what can I do?
- Answer: You may be able to have your duties that involve the company you want to talk with transferred to another employee.
- Note: Your supervisor makes the determination about whether you can be disqualified from duties involving a company.

- If I want to be relieved of my duties that involve a company, so that I will be able to discuss employment with the company, do I have to complete any written documents?
- Answer: Yes. You must complete a disqualification letter that says you are disqualifying yourself from all government duties involving the company.
- Note: Your servicing legal office has the format you can use for the disqualification letter.

- Can I list more than one company in a disqualification letter?
- Answer: Yes. If you have government duties that affect the financial interests of several companies, you may list in a disqualification letter one of those companies, or more than one, or all of those companies.
- If you have government duties that affect the financial interests of several companies, you can prepare more than one disqualification letter (one now and another in the future, for example).

- Can I disqualify myself from duties involving a company if the performance of those duties is a central or critical part of my government job?
- Answer: No. You may not disqualify yourself from government duties that are a central or critical part of your government job.
- Your supervisor / chain of command makes the decision about whether you may be disqualified from government duties involving a company.

- If I am <u>not</u> participating personally and substantially in any government matter that has a direct and predictable effect on the financial interests of a company, can I seek employment with that company?
- Answer: Yes. In this situation:
 - You may seek employment with the company.
 - You are not required to tell your supervisor (although you may do so).
 - You are not required to prepare a disqualification letter (although you may do so).

- If I am successful in getting a job with a company, what restrictions will apply to me?
- Answer: If you enter into an employment arrangement with a company, you will be prohibited from participating personally and substantially in any government matter that has a direct and predicable effect on the company's financial interests.
- This is the same prohibition that applies while you are seeking employment with the company. The ban will last until you leave Federal service.

- Are there any special rules that apply if I am participating personally and substantially in a procurement and I am contacted about possible employment by a company that is a bidder or offeror in the procurement?
- Answer: Yes. If this happens & if the procurement will have a value over \$100,000, you must:
 - Give written report describing the employment contact to supervisor & agency ethics official, &
 - Either reject the possibility of employment (and continue working on the procurement) OR be disqualified from working on the procurement.

Job Hunting -- Use of Govt. Resources (1)

- General rule: Government resources may be used only for authorized purposes, and you generally may not use government resources to help you find post-government employment. For example, you may not:
 - Make long distance calls at govt. expense, or
 - Use the services of subordinates (e.g., typing).
- Exception: A government office with a mission of helping employees find employment (I.e., transition assistance office) may use government resources for this purpose.

Job Hunting -- Use of Govt. Resources (2)

- The DoD ethics regulation states that employees may use the e-mail & Internet on their government computer for personal communications if their supervisor (who is a commissioned military officer or a civilian GS-12 or above) determines that:
 - No adverse effect on performance of duties,
 - Use is of reasonable duration & frequency, and done on personal time (lunch/after duty hours),
 - Use serves a legitimate public interest (such as job search in response to govt. downsizing),
 - Use does not reflect adversely on government,
 - Use does not overburden the system, and
 - Use does not create significant additional cost.

Job Hunting -- Use of Govt. Resources (3)

- On 29 Nov 2000, Headquarters Air Force issued a 2-page legal opinion entitled "Use of Government E-Mail and Internet for Seeking Employment."
- Opinion says that supervisor may permit you to use your "own personal time to conduct a limited review of Internet job sites or send a short, non-broadcast e-mail message related to a new job."
- Opinion says that a supervisor may <u>not</u> permit:
 - Use of official time to conduct job searches,
 - Sending out broadcast e-mail messages, or
 - Printing copies of a resume on govt. printer.

Post-Government Employment Rules --Overview

- One-year compensation ban
- Lifetime representation ban
- Two-year representation ban

Post-Government Employment 1-Year Compensation Ban

- People who serve in one of seven positions, or who make one of seven types of decisions, on a contract over \$10 million, may not accept compensation from the contractor for 1 year.
- 1-year ban is on accepting compensation from the contractor as an employee, consultant, officer or director.

Positions Resulting in One-Year Compensation Ban

- Procuring Contracting Officer
- Source Selection Authority
- Member of Source Selection Evaluation Board
- Chief of financial or technical evaluation team
- Program Manager
- Deputy Program Manager
- Administrative Contracting Officer

Decisions Resulting in One-Year Compensation Ban

- Award a contract over \$10 million
- Award a subcontract over \$10 million
- Award a modification over \$10 million
- Award a task or delivery order over \$10 million
- Establish overhead or other rates applicable to contracts over \$10 million
- Approve contract payments over \$10 million
- Pay or settle claim over \$10 million

Other Provisions of 1-Year Compensation Ban

- If 1-year ban applies:
 - Individual may not accept compensation
 - Contractor may not provide compensation
- Other divisions: 1-year ban does not apply to accepting compensation from any division or affiliate of a contractor that does not produce the "same or similar products or services" as the division of the contractor that has the contract that you worked on.

Legal Opinions under 1-Year Compensation Ban

- You may request legal advice on whether the 1-year ban applies to you.
- The legal opinion must be issued within 30 days after receipt of written request.
 The opinion is called a "30-day letter."
- JA has a handout for clients with instructions on how to prepare a request for a 30-day letter.

Lifetime Representation Ban

 If you participate personally & substantially in a contract (through decision, advice, recommenda-tions, evaluations or an investigation), you may never act as contractor's negotiator, spokesperson or representative on the contract.

- But you may:
 - Go to work for the contractor, and
 - Help the contractor perform the contract.
- Ban applies to contracts & other particular matters.

2-Year Representation Ban

 If you did not participate personally & substantially in a contract, but it was under your official responsibility during your last year of government service, you may not, for 2 years after leaving the government, act as the contractor's negotiator, spokesperson or representative on the contract.

- But you may:
 - Go to work for the contractor, and
 - Help the contractor perform the contract.
- Ban applies to contracts & other particular matters.

Lifetime & 2-Year Representation Bans

 What is prohibited: communicating with or appearing before any Federal employee, with the intent to influence the employee, regarding the contract (or other matter) that the ban applies to

Examples:

- Acting as company's negotiator
- Speaking for company in contract dispute
- Seeking for the company a discretionary ruling, benefit, action or approval by the govt. (e.g., a contract claim, modification, ECP, etc.)

Lifetime & 2-Year Representation Bans

- What is permitted: communicating with or appearing before Federal employees regard-ing a contract (or other matter) where there is no intent to influence (i.e., merely providing or obtaining information). Examples:
 - Providing purely factual information to govt. personnel regarding a contract
 - Requesting purely factual information from govt. personnel regarding a contract
 - Requesting from the govt. publicly available documents related to a contract